



United Nations

HABITAT III
SIDE EVENT REPORT

| Submitted by Lead Organization | |
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| Name of the lead organization | Department of Human Settlements |
| City and country where the lead organization is based | Republic of South Africa |
| Title of the event | Implications for the implementation of the New Urban Agenda of the Baseline Study of Informal Settlements targeted for Upgrading in South Africa |
| Date | 10/17/2016 |
| Room number | R7 |
| List of partner organizations | 1. Human Sciences Research Council (HSRC) (as co-presenters) South Africa 2. Department of Performance Monitoring and Evaluation (DPME) (as co-presenters) South Africa 3. UN Habitat (as discussants) Nairobi, Kenya 4. Cities Alliance (as discussants) Brussels, Belgium |
| Number of attendees | 21-40 |
| Percentage of women participating | 31-40% |



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Background information on the event (themes, issues, context).

The Upgrading of Informal Settlements Programme was designed in in 2004, anchored on the foundational policies of the post-apartheid housing vision encapsulated in the South African Constitution and related legislation and policies. The programme also draws its principles from international experience on upgrading as articulated in various frameworks of UN Habitat. The programme was designed in the context of the Millennium Development Goals. The experience after twelve years of implementation will be highly relevant to the implementation of the commitments in the New Urban Agenda related to improving the lives of slum and informal settlement dwellers, as well as the target on upgrading slums under Sustainable Development Goal 11 of Agenda 2030. The study addresses several areas of the New Urban Agenda, and includes Demographic Information, History, Age and Location of the settlements, Security of Tenure, Access to Basic Services, Physical Environmental Vulnerabilities, Health Food and Nutritional Security, Safety, Economic Activities, Social Capital and Community Empowerment, and Attitudes Towards Foreigners. The presentation of the Study and its recommendations, and the subsequent discussion by participants and discussants will identify globally pertinent recommendations with regard to programme design, implementation and institutional arrangements, in particular for community empowerment in informal settlements upgrading. The fact that government was identified as the main funder for adequate housing points to the need to create awareness about other sources of funding that households can access to reduce dependency on the housing subsidy programme. The side event will also share suggestions for relevant data to be collected, as well as appropriate methodologies for data collection, and recommend indicators for future impact assessment of informal settlements upgrading. This will greatly assist both practitioners from government and the community, and academics in implementing and assessing the implementation of the New Urban Agenda. The side event targets practitioners from national, sub-national and local government, academics and researchers, planners, and community organisations, with the intention of creating awareness and proposing practical solutions to the implementation of informal settlements and slum upgrading and the measurement of the impact of such programmes.



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| <p>Concise summary of the event proceedings, including key points discussed</p> | <p>1. Shared outcomes of the study with practitioners (government and non-government), academics and researchers 2. Identified impact assessment indicators that will assist in assessing the implementation of commitment in the New Urban Agenda aimed at improving the lives of slum and informal settlements dwellers 3. Agreed on concrete recommendations in terms of programme design and institutional arrangements to improve on informal settlements upgrading programmes in support of implementing the New Urban Agenda</p> |
| <p>Recommendations that emerged from these discussions.</p> | <p>1. Programme will perform better if it is managed at settlements level, co-production between government and informal settlements dwellers 2. Government resources can be utilised better by co-partnering with communities 3. Communities should be encouraged to do maintenance 4. Study paving the first steps in innovative acknowledged 5. South Africa to link the study indicators to the SDGs and not only reference and only respond to one SDG relating to human settlements only 6. South Africa to form part of the UN Indicators discussions 7. South Africa to look at city wide issues such as connectivity as well 8. Study has potential for new partnerships internationally.</p> |
| <p>Partnerships or collaborations that emerged from the event.</p> | <p>1. South Africa to form part of the UN Indicators discussions, invitation to be extended by Ms Kerstin Sommer, Ag. Slum Upgrading Unit Leader, Project Leader PSUP 2. Nigeria requested for collaboration to share how to apply the programme to their country conditions</p> |
| <p>Name, nationality, title and organization of the 1st speaker at your event.</p> | <p>Mulalo Muthige-Ramugondo South African Ms National Department of Human Settlements</p> |
| <p>Name, nationality, title and organization of the 2nd speaker at your event.</p> | <p>Ahmed Vawda South African Mr Department of Performance Monitoring and Evaluation</p> |
| <p>Name, nationality, title and organization of the 3rd speaker at your event.</p> | <p>Kerstin Sommer Ms United Nations Human Settlement Programme</p> |
| <p>Name, nationality, title and organization of the 4th speaker at your event.</p> | <p>Julian Baskin Mr Cities Alliance</p> |



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Dissemination of the outcomes of your event?

Participants were requested to submit their contact details on an attendance register to form part of an informal network to share related research, programme implementation innovations, and impact evaluations on informal settlements going forward. UN Habitat was also approached to assist in this regard.